#### 'IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Soo-Kyung Kim : Docket No: P31590 USA

Application No: 10/595,425 : Examiner: Unknown

Filing Date: **04/18/2006** : Confirmation No: **9237** 

For: Biochip Analysis System and

Diagnosis Having Biochip Analysis:

Apparatus

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### PETITION FOR REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Enclosed is a copy of the Official Filing Receipt issued by the United States

Patent and Trademark Office for the above-captioned application. Applicant's attorney
respectfully requests that the Domestic Priority Data be changed to the correct date as
indicated on the Application Data Sheet submitted April 18, 2006. Applicant respectfully
requests the issuance of a Corrected Filing Receipt indicating the correct Domestic

Priority Data. This was an error of the PTO, and thus no fees are due.

Respectfully submitted,

Dated: July 3, 2009 /gah/

Gary A. Hecht, Reg. No. 36826

Foxrothschild, LLP 2000 Market Street

10<sup>th</sup> Floor

Philadelphia, PA 19103 Tele: 215-299-2000

E-mail: ghecht@foxrothschild.com



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450 Virginia 22313-1450

APPLICATION	FILING or	GRP ART	_			
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIM
10/595 425	04/18/2006	2627	1150	P31590 USA	25	2

**CONFIRMATION NO. 9237** 

23307 SYNNESTVEDT & LECHNER, LLP 1101 MARKET STREET 26TH FLOOR PHILADELPHIA. PA 19107-2950

FILING RECEIPT

Date Mailed: 04/21/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Soo-Kyung Kim, Seoul, KOREA, REPUBLIC OF; Seung-Yop Lee, Seoul, KOREA, REPUBLIC OF: Kyung-Ho Kim, Seoul, KOREA, REPUBLIC OF;

Power of Attorney: The patent practitioners associated with Customer Number 23307

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/KR04/02664 04/

10/18/2004

**Foreign Applications** 

REPUBLIC OF KOREA 10-2004-0031057 05/03/2004

If Required, Foreign Filing License Granted: 04/11/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/595,425** 

page 1 of 3

Projected Publication Date: 371 Perfected

Non-Publication Request: No

Early Publication Request: No

Synnestvedt & Lechner LLP RECEIVED

APR 2 4 2008

Docketing Required: YES

Reviewed by:

Title

Biochip Analysis System And Diagnosis Having Biochip Analysis Apparatus

**Preliminary Class** 

369

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

#### LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Shoot 27 CED 4			76	Attorney	Docket N	lumber	P31590 USA			
Application Data Sheet 37 CFR 1.7		76	Application Number							
Title of	Title of Invention BIOCHIP ANALYSIS SYSTEM AND DIAGNOSIS HAVING BIOCHIP ANALYSIS APPARATUS									
The app	lication data sh	eet is part of the p	rovisional or	nonn	rovisional ar	polication fo	which it is	being si	ubmitted The following form contains	the
bibliogra This doc	The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.  This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.									
			- р-,	<u> </u>						
Secre	Secrecy Order 37 CFR 5.2									
	Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)									
ilaaA	cant Info	rmation:	_				-			
Applic								-		
		ty • Inventor	CLegal	Rep	esentative	under 35	U.S.C. 11	7	Party of Interest under 35 U.S.	C. 118
Prefix			I	Mi	ddle Nam	е		Fam	ily Name	Suffix
	Soo-Kyung							KIM		
Resid	ence Inforn	nation (Select	One)	US	Residency	● N	on US Res	sidency	Active US Military Service	)
City	Seoul		C	ount	ry Of Res	idencei	KR			
Citizer	nship under	37 CFR 1.41(	b) i KF	₹	<del></del>					
Mailing	g Address o	of Applicant:								
Addre	 ss 1	Busines	s Incubator	#201	, Sogang l	Jniverisity		_		
Addre	ss 2	Shinsu-c	long, Mapo	-ku		-			,	
City	Seoul 1	21-742				Sta	te/Provir	nce		
Postal	Code					Country	Repub	lic of K	orea	
Applic	ant 2									
		ty Oinventor	CLegal	Rep	esentative	under 35	U.S.C. 11	7	OParty of Interest under 35 U.S.	C. 118
Prefix				Mi	ddle Nam	е		Fam	ily Name	Suffix
_	Seung-Yop				LEE					
Resid	ence Inforn	nation (Select	One)	US	Residency	● N	on US Res	sidency	Active US Military Service	
City	Seoul		C	ount	ry Of Res	idence	KR			
Citizer	nship under	37 CFR 1.41(	<b>b)</b> i KF	₹		- , , -				
Mailing	g Address o	of Applicant:								
Addre	ss 1	Busines	s Incubator	#201	, Sogang l	Jniverisity				
Addre	Address 2 Shinsu-dong, Mapo-ku									
City	City Seoul 121-742 State/Province									
Postal	Code					Country	Repub	lic of Ko	orea	
Applic	ant 3	<u>,                                     </u>								
		ty • Inventor	CLegal	Rep	esentative	under 35	U.S.C. 11	7	Party of Interest under 35 U.S.	C. 118
Prefix				Mi	ddle Nam	е		Fam	ily Name	Suffix
	Kyung-Ho			-				KIM		
Resid	ence Inforn	nation (Select	One)	้บร	Residency	● N	on US Res	sidency	Active US Military Service	
City	City Seoul Country Of Residence KR									

PTO/SB/14 (08-05)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76			Attorney Docket Number		P31590 USA			
Application Data Sheet 3/ GFR 1./		eet 37 CFR 1.76	Application Number					
Title of Invention	Title of Invention BIOCHIP ANALYSIS SYSTEM AND DIAGNOSIS HAVING BIOCHIP ANALYSIS APPARATUS							TUS
Citizenship under	37 CFI	R 1.41(b) i KR					<del> </del>	
Mailing Address of	of Appli	icant:						
Address 1 Business Incubator #201, Sogang Universit					erisity			·
Address 2	8	Shinsu-dong, Mapo-ku						
City Seoul 121-742 State/Province								
Postal Code				Col	u <b>ntry</b> i Republ	ic of Kore	ea	
		isted - Additional Ir by selecting the <b>Add</b>			nation blocks i	may be	Ado	
Corresponder	nce Ir	nformation:						
		umber or complete ee 37 CFR 1.33(a).	the	Correspo	ndence Inform	nation s	ection below.	
An Address i	s being	provided for the co	orre	sponden	ce Information	of this	application.	
Customer Numbe	r	23307						
Email Address		msimpson@synnlect	n.con	n		_	Add Email	Remove Email
Application Information:								
Title of the Invent		BIOCHIP ANALYSIS	SY	STEM AND			<del></del>	APPARATUS
Attorney Docket N	Number				Small Ent	ity Stati	us Claimed 🔀	
Application Type		Nonprovisional						
Subject Matter		Utility -					<del></del>	
Suggested Class	· · ·	<u> </u>			Sub Class	if any	()	
Suggested Techn	ology (	Center (if any)						-
Total Number of D	Prawing	Sheets (if any)	15		Suggeste	d Figur	e for Publication	(if any)
Publication Inforn	nation:							
Request Early	Publica	ation (Fee required a	t tim	e of Requ	est 37 CFR 1.2	19)		
Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.								
this information in the Enter either Cus	mation : Application	should be provided for ation Data Sheet does not	ot co ete	nstitute a point the Re	power of attorney presentative N	in the aplame s	oplication (see 37 CF ection below. If	
Please Select One		Customer Number	r	O US F	Patent Practitione	r O	US Representative	e (37 CFR 11.9)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	P31590 USA
Application Da		Application Number	
Title of Invention	BIOCHIP ANALYSIS SYSTEM	M AND DIAGNOSIS HAVING B	IOCHIP ANALYSIS APPARATUS
Customer Number 23307			

## **Domestic Priority Information:**

This section allows for the applicant to claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c). Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a) (4), and need not otherwise be made part of the specification.						
Prior Application Status	Pending		Remove			
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)			
	a 371 of international	PCT/KR2004/002664	2004-10-18			
Additional Domestic Priority Data may be generated within this form by selecting the Add button.						

# Foreign Priority Information:

			emove		
Application Number	Country i	Parent Filing Date (YYYY-MM-DD)	Priority Claimed		
10-2003-0072749	REPUBLIC OF KOREA	2003-10-18	● Yes ○ No		
		(R	Remove		
Application Number	Country i	Parent Filing Date (YYYY-MM-DD)	Priority Claimed		
10-2003-0072750	REPUBLIC OF KOREA	2003-10-18	● Yes ○ No		
		R	emove		
Application Number	Country i	Parent Filing Date (YYYY-MM-DD)	Priority Claimed		
10-2004-0031057	REPUBLIC OF KOREA	2004-05-03	Yes () No		

## **Assignee Information:**

	n in the application data sheet doessignment recorded in the Office.	es not substitute for compliance wi	th any requirement of part 3 of Title 37				
Assignee 1							
If the Assignee is an C	Organization check here.	X					
Organization Name	Organization Name NANOSTORAGE CO.,LTD.						
Mailing Address Info	rmation:	<del></del>					
Address 1 Business Incubator #201, Sogang University							
Address 2	Address 2 Shinsu-dong, Mapo-ku						
City	Seoul 121-742	State/Province					
Country   KR		Postal Code					
Phone Number		Fax Number					

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Application Data Sheet 37 CFR 1.76

Application Number

Application BIOCHIP ANALYSIS SYSTEM AND DIAGNOSIS HAVING BIOCHIP ANALYSIS APPARATUS

Email Address

Email Address

Additional Assignee Data may be generated within this form by selecting the Add button.

## Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.							
Signature	/Mark Simpson/		Date (YYYY-MM-DD)	2006-04-18			
First Name	Mark	Last Name	Simpson	Registration Number	32,942		

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an
  individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of
  the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.